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GP-2131

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021768.1152

PATENT

Serial No. 10/040,573

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5/15-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles S. Fenton et al.  
Serial No.: 10/040,573  
Filing Date: November 2, 2001  
Group Art Unit: 2131  
Title: METHOD AND SYSTEM FOR SECURE  
COMMUNICATION

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Honorable Assistant Commissioner  
for Patents  
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Dear Sir:

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
<i>Willie Jiles</i> Willie Jiles

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

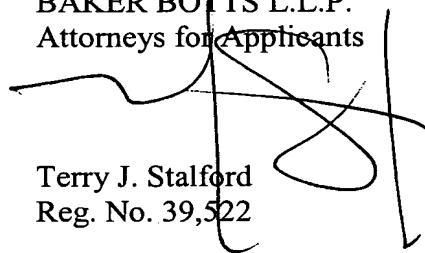
Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of the first Office Action. Therefore, Applicants believe that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants

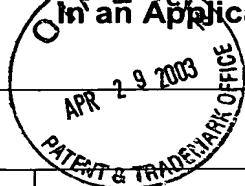
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Date: April 27, 2003

PTO-1449		Application No. 10/040,573	Applicant(s) Charles S. Fenton et al.	
<b>Information Disclosure Citation In an Application</b>		Docket Number 021768.1152	Group Art Unit 2131	Filing Date November 2, 2001



### U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A							
B							
C							
D							
E							
F							
G							
H							
I							
J							
K							
L							

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### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
M	0 442 838 A2	08/21/91	EPO		G06F	1/00	X	
N	WO 98/21683	05/22/98	PCT		G06K		X	
O								
P								
Q								

### NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	Marshall, I. W. and Roadknight, C., "Adaptive Management of an Active Service Network", BT Technol J, Vol. 18, No. 4, pp 78-84	10/2000
S	Brooks, Charles, et al, "Application-Specific Proxy Servers as HTTP Stream Transducers", Internet Citation <a href="http://www.w3.org/Conferences/WWW4/Papers/56/">http://www.w3.org/Conferences/WWW4/Papers/56/</a> , 9 pages	12/01/1995
T	PCT International Search Report for PCT US02/34834, 7 pages	04/18/2003

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.